3420 1774 PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant

Matthew L. Marrocco III, et al.

Application No.:

09/879,752 June 12, 2001

Filed Title

POLYMER MATRIX ELECTROLUMINESCENT

MATERIALS AND DEVICES

Grp./Div.

: 3420

Examiner Docket No.

Not yet known 45345/WPC/M237

:

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER § 1.97(e)(1)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
November 15, 2001

COPY OF PAPERS ORIGINALLY FILED

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing a reference that is known to applicant. A copy of the listed reference is enclosed.

Also enclosed is a copy of the International Search Report issued in the corresponding PCT patent application.

It is respectfully requested that this reference be considered in the examination of this application and identified on the list of references cited on the patent issuing on this application. Applicant also requests that an initialed copy of said FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Applicant's undersigned attorney hereby certifies, in accordance with 37 CFR § 1.97(e)(1), that each item of information contained in the information disclosure statement was first cited

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in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

William P. Christie

Reg. No. 29,371 626/795-9900

WPC/mlm

Enclosures: PTO 1449, w/reference

Copy of Search Report

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